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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 37637-0008

Applicant: Monika BAUER et al.

Confirmation No.: 1738

Appl. No.: 10/019,230

Examiner: Unassigned

Filing Date: March 28, 2002

Art Unit: 2872

Title: THERMOSETTING PLASTICS AS SUBSTRATE MATERIALS FOR  
OPTICAL SYSTEMS

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

It is respectfully requested that a corrected Filing Receipt be issued in connection with the captioned application in order to correct the following information under the Foreign Applications section:

please delete [EUROPEAN PATENT OFFICE (EPO) 99112602.0, filed 7/1/2000]  
and insert --EUROPEAN PATENT OFFICE (EPO) 99112602.0, filed 7/1/1999--.

A copy of the Filing Receipt is returned herewith together with a copy of the Declaration and Power of Attorney. There is no fee believed due in connection with this filing since it was a PTO error, however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 08-1641.

Serial No. 10/019,230  
BAUER et al.  
37637-0008

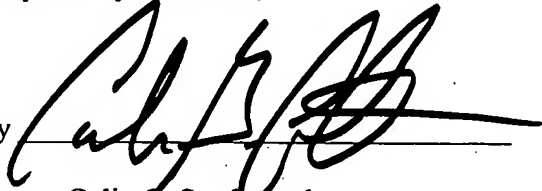
Kindly forward the corrected Filing Receipt to the undersigned attorney of record.

Respectfully submitted,

Date: 9.27.02

HELLER EHRMAN WHITE &  
MCAULIFFE  
1666 K Street, N.W., Suite 300  
Washington, DC 20006  
Telephone: (202) 912-2112  
Facsimile: (202) 912-2020

By

A handwritten signature in black ink, appearing to read 'Colin G. Sandercock', written over a horizontal line.

Colin G. Sandercock  
Attorney for Applicant  
Registration No. 31,298



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 37637-0008

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**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

On October 4, 2002, Applicants filed a Request for Corrected Filing Receipt to correct a Foreign Applications priority which was improperly listed on the official Filing Receipt mailed June 12, 2002.

After reviewing the U.S. Patent Office's PAIR system, we note that this filing was not recorded with the Patent Office. Applicants submit herewith as proof of filing:

- copy of the Request for Corrected Filing Receipt (dated 10/4/02)
- copy of official Filing Receipt (dated 6/12/02)
- copy of Applicant's Declaration and Power of Attorney
- copy of Patent Office stamped postcard.

It is respectfully requested that a corrected Filing Receipt be issued in connection with this application. There is no fee believed due in connection with this filing, however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 08-1641.

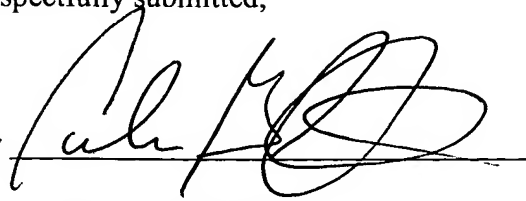
Kindly forward the corrected Filing Receipt to the undersigned attorney of record.

Respectfully submitted,

Date: 6.18.03

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Colin G. Sandercock  
Attorney for Applicant  
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37637.0008

PATENT TRADEMARK OFFICE



26633



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/019,230	03/28/2002	2872	1236	37637-0008	1	25	2

26633  
HELLER EHRMAN WHITE & MCAULIFFE LLP  
1666 K STREET, NW  
SUITE 300  
WASHINGTON, DC 20006

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TC 1700

CONFIRMATION NO. 1738

## FILING RECEIPT



\*OC000000008226872\*

Date Mailed: 06/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Monika Bauer, Senzig, GERMANY;  
Joerg Bauer, Senzig, GERMANY;  
Christian Dreyer, Niederworresbach, GERMANY;  
Norbert Keil, Berlin, GERMANY;  
Crispin Zawadski, Berlin, GERMANY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/06202 07/03/2000

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 99112602.0 07/01/2000

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

## Title

Thermosetting plastics as substrate materials for optical systems

Preliminary Class

359

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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Attorney Docket No.: 37637-0008

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name,

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

THERMOSETTING PLASTICS AS SUBSTRATE MATERIALS FOR OPTICAL SYSTEMS

the specification of which (check one)

       is attached hereto.

  X   was filed on July 3, 2000 as PCT International Application Number PCT/EP00/06202

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's

certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date	Priority Claimed?	Certified Copy Attached?
99112602.0	EP	July 1, 1999	Yes	No

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

U.S. Provisional Application Number	Filing Date

I HEREBY CLAIM the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Application Number	Parent Filing Date	Parent Patent Number

I HEREBY APPOINT the following registered attorneys and agents of the law firm of Heller Ehrman White & McAuliffe to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

PAUL BOOTH	Reg. No. 40,244
PATRICIA D. GRANADOS	Reg. No. 33,683
JOHN P. ISACSON	Reg. No. 33,715
RONALD J. KAMIS	Reg. No. 41,104
MARVIN A. MOTSENBOCKER	Reg. No. 36,614
COLIN G. SANDERCOCK	Reg. No. 31,298
SUSAN E. SHAW MCBEE	Reg. No. 39,294



and I request that all correspondence be directed to:

**HELLER EHRMAN WHITE & MCAULIFFE**  
**1666 K Street, NW, Suite 300**  
**Washington, DC 20006**  
**Telephone: (202) 912-2000**  
**Facsimile: (202) 912-2020**

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of first inventor	<b>Monika BAUER</b>
Residence	D-15754 Senzig, Germany
Citizenship	German
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Inventor's signature	<i>Monika Bauer</i>
Date	28.02.02
Name of second inventor	<b>Joerg BAUER</b>
Residence	D-15754 Senzig, Germany
Citizenship	German
Post Office Address	Wendenstrasse 40, D-15754 Senzig, Germany
Inventor's signature	
Date	
Name of third inventor	<b>Christian DREYER</b>
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Citizenship	German
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Inventor's signature	<i>C. Dreyer</i>
Date	28.02.2002

Name of fourth inventor	Norbert KEIL
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Citizenship	German
Post Office Address	Nieplitzsteig 11a, D-14089 Berlin, Germany
Inventor's signature	<i>Keil</i>
Date	28/2/02

Name of fifth inventor	Crispin ZAWADZKI
Residence	D-12309 Berlin, Germany
Citizenship	German
Post Office Address	Pechsteinstrasse 80, D-12309 Berlin, Germany
Inventor's signature	<i>Zawadzki</i>
Date	28/2/02

Heller Ehrman White & McAuliffe  
1666 K Street, NW, Suite 300  
Washington, DC 20006-1228

Date: October 4, 2002

Docket No.: 37637-0008

The "Received" stamp of the U.S. Patent & Trademark Office hereon acknowledges receipt of the following:

Applicant: Monika BAUER et al.

Confirmation No.: 1738

Application Serial No.: 10/019,230

Application Filed: March 28, 2002

Title: THERMOSETTING PLASTICS AS SUBSTRATE MATERIALS FOR OPTICAL SYSTEMS

1. REQUEST FOR CORRECTED FILING RECEIPT
2. COPY OF FILING RECEIPT
3. COPY OF DECLARATION & POWER OF ATTORNEY

File in Mail Room

Date Due: N/A

Inspected by: te

Return to CGS:rmc/cak



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